



14846-29

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: Abdul Basit
Jacob Matthews, et al.)
: Group Art Unit: 3694
Application No.: 10/730,498)
: Confirmation No.: 2319
Filed: December 3, 2003)
:)
For: Systems And Methods For Trading)
Financial Instruments Across)
Different Types Of Trading Platforms) January 10, 2008

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed forms PTO/SB/08a and 08b. Copies of listed documents other than U.S. Patents and U.S. published applications are enclosed.


It is respectfully requested that the forms PTO/SB/08a and 08b be initialed and returned, indicating that the cited documents have been considered.

No fee is believed to be required. However, if a fee is deemed necessary, please charge such fee to Deposit Account No. 501358.

Applicants' undersigned attorney may be reached by telephone at (973) 597-2500.

All correspondence should continue to be directed to our address listed below.

Respectfully submitted,



Raymond G. Cappo
Reg. No. 53,836
Agent for Applicants

Docket Administrator
LOWENSTEIN SANDLER PC
65 Livingston Avenue
Roseland, NJ 07068
Tel.: 973-597-2500



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

MAIL STOP: AMENDMENT
Comissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: January 10, 2008 Attorney Docket No: 14846-29 Serial No.: 10/730,498


Signature

Raymond G. Cappo

Typed or printed name of person signing Certificate

53,836

Registration Number, if applicable

973-597-2500

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper

- (1) Information Disclosure Statement; (2 sheets);
- (2) PTO/SB/08a and SB/08b (7 sheets);
- (3) References; and
- (4) Post card

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.